

# Agenda – Senedd Commission Audit and Risk Assurance Committee

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Meeting Venue:

Hybrid – Conference Room 4B/MS

Teams

Meeting date: 27 November 2023

Meeting time: 10.00 – 12:30

For further information contact:

Clerk: Kathryn Hughes

Committee Clerk

0300 200 6543

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## 1 Introductions, apologies and declaration of interests

## 2 Minutes of 12 June, actions and matters arising

(Pages 1 – 11)

Attached Documents:

ARAC (23–05) Paper 1 – minutes 12.06.23

ARAC (23–05) Paper 2 – summary of actions

## 3 G&A update report (inc. progress on IA activity)

(Pages 12 – 17)

Attached Documents:

ARAC (23–05) Paper 3 – Governance and Assurance Update – Nov 2023

## 4 Internal Audit Report

(Pages 18 – 27)

Attached Documents:

ARAC (23–05) Paper 4 – Business Continuity Audit – Validera

## 5 External Quality Assessment (EQA)

(Pages 28 – 33)

Attached Documents:

ARAC (23–05) Paper 5 – EQA

ARAC (23–05) Paper 5 – Annex A – EQA Review Summary and Introduction



ARAC (23-05) Paper 5 – Annex B – EQA Appendix A – Main Report Findings and Action Plan

ARAC (23-05) Paper 5 – Annex C – IAQAF Conformance Outcome

## **6 HMT/other guidance for Audit and Risk Assurance Committees**

Oral item

## **7 Review of internal audit coverage over the past few years**

(Pages 34 – 43)

Attached Documents:

ARAC (23-05) Paper 6 – Internal Audit

## **8 Feedback from 2022-23 audit process**

Oral item

## **9 Audit Wales update report (inc. AW reports/outputs and wider public sector studies and reports and how they impact the Senedd Commission)**

(Pages 44 – 51)

Attached Documents:

ARAC (23-05) Paper 7 – Audit Wales update – Nov 2023

## **10 Update on Cyber Security**

(Pages 52 – 66)

Attached Documents:

ARAC (23-05) Paper 8 – Cyber Security Assurance Report Q3 2023

## **11 Finance update**

(Pages 67 – 74)

Attached Documents:

ARAC (23-05) Paper 9 – Finance update Nov 2023

## **12 PAPAC and Finance Committee update**

Oral item

## **13 Departure Summary**

(Pages 75 – 76)

Attached Documents:

ARAC (23-05) Paper 10 – Departures Summary

## **14 Corporate updates: Senedd Reform Programme and Ways of Working Programme**

Oral item

## **15 Corporate Risk**

(Pages 77 – 105)

Attached Documents:

ARAC (23-05) Paper 11 – Corporate Risk

ARAC (23-05) Paper 11 – Annex A – Summary Corporate Risk Register

ARAC (23-05) Paper 11 – Corporate Risk – Annex B – Corporate Risks Plotted

## **16 Critical examination of one identified or emerging risk or issue – HR-R-129: Dignity & Respect – Commission**

(Pages 106 – 109)

Attached Documents:

ARAC (23-05) Paper 12 – Corporate Risk – Dignity & Respect

ARAC (23-05) Paper 12 – Annex A – Corporate Risk – Dignity & Respect

## **17 Information breaches (twice yearly)**

Oral item

## **18 Review the committee's terms of reference**

(Pages 110 – 113)

Attached Documents:

ARAC (23-05) Paper 13 – ARAC Terms of Reference

## **19 Forward work programme**

(Pages 114 – 117)

Attached Documents:

ARAC (23-05) Paper 14 – Forward Work Programme

## **20 Any other business**

Oral item